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## AGENDA

<b>Committee</b>	AUDIT COMMITTEE
<b>Date and Time of Meeting</b>	TUESDAY, 12 NOVEMBER 2019, 2.00 PM
<b>Venue</b>	COMMITTEE ROOM 1- COUNTY HALL
<b>Membership</b>	Independent Members: Ian Arundale (Chair) D. Hugh Thomas, Gavin McArthur and David Price Councillors Cunnah, Howells, Lister, McKerlich, Singh and Williams

*Time  
approx.*

### 1 Apologies for Absence

To receive apologies for absence.

### 2 Declarations of Interest

To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct.

### 3 Minutes *(Pages 5 - 14)*

To approve as a correct record the Minutes of the meeting held on 10 September 2019.

### 4 Chairperson's Business

#### 4.1 Ian Arundale - Leave of Absence Request *(Pages 15 - 16)*

#### 4.2 Audit Committee Chairs' Network (Verbal Update)

### 5 Operational Matters

#### 5.1 Sarah McGill - Update on Internal Control Environment *(Pages 17 - 34)* 2.20 pm

#### 5.2 Jane Thomas - Risk Based Verification Policy - Housing and Council Tax Benefit Assessment *(Pages 35 - 92)* 2.45 pm

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<b>6</b>	<b>Finance</b>	
<b>6.1</b>	Financial Update including Resilience Issues	3.05 pm
<b>7</b>	<b>Governance and Risk Management</b>	
<b>7.1</b>	Corporate Risk Management (Mid-Year) <i>(Pages 93 - 124)</i>	3.15 pm
<b>8</b>	<b>Wales Audit Office</b>	3.35 pm
<b>8.1</b>	Key Messages - Annual Improvement Report & Well-being of Future Generations Examination Report <i>(Pages 125 - 180)</i>	
<b>8.2</b>	WAO Activity/Report Progress Update <i>(Pages 181 - 186)</i>	
<b>9</b>	<b>WAO Tracker / Other Studies</b>	
<b>10</b>	<b>Treasury Management</b>	3.55 pm
<b>10.1</b>	Performance Report <i>(Pages 187 - 268)</i>	
<b>10.2</b>	Half Year Report <i>(Pages 269 - 286)</i>	
<b>11</b>	<b>Internal Audit</b>	4.10 pm
<b>11.1</b>	Audit Team Progress Update <i>(Pages 287 - 358)</i>	
<b>11.2</b>	Investigation Team Progress Update <i>(Pages 359 - 364)</i>	
<b>12</b>	<b>Scrutiny Correspondence</b>	
<b>13</b>	<b>Outstanding Actions</b> <i>(Pages 365 - 366)</i>	
<b>14</b>	<b>Work Programme Update</b> <i>(Pages 367 - 368)</i>	
<b>15</b>	<b>Urgent Items (if any)</b>	
<b>16</b>	<b>Date of next meeting</b>	

The next meeting will be held on 21 January 2020.

**Davina Fiore**  
**Director Governance & Legal Services**  
Date: Wednesday, 6 November 2019  
Contact: Graham Porter,  
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